PTO/SB/05 (06-03)

Approved for use through 07/31/2003. OMB 0651-0032

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UTILITY
PATENT APPLICATION
TRANSMITTAL

Attorney Docket No.	81155
First Inventor	Von Arx, Alan V.
Title	CASCADE IGNITION OF CATALYTIC COMBUSTORS
Express Mail Label No.	EV 333464526 US

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS			Mail Stop Patent Application Commissioner for Patents P.O. Boy 1450						
See MPEP chapter 600 conce	ming utility patent application contents.		P.O. Box 1450 Alexandria, VA 22313-1450				J.S. 455		
1. X Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)  2. Applicant claims small entity status. See 37 CFR 1.27.  3. X Specification [Total Pages 17 ]  (preferred arrangement set forth below) - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure			7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)  8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  a. Computer Readable Form (CRF)  b. Specification Sequence Listing on:  i. CD-ROM or CD-R (2 copies); or  ii. paper  c. Statements verifying identity of above copies  ACCOMPANYING APPLICATION PARTS  9. X Assignment Papers (cover sheet & document(s))  10. 37 CFR 3.73(b) Statement (when there is an assignee)  X Power of Attorney (combined with Declaration						
5. Oath or Declaration  a. x Newly executed (b. Copy from a prior (for continuation/c)  i. DELETION (Signed stateme name in the prior 1.63(d)(2) and 1	a. x Newly executed (original or copy)  b. Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed)				11. English Translation Document (if applicable)  12. Information Disclosure Copies of IDS Citations Statement (IDS)/PTO-1449 Copies of IDS Citations Statement (IDS)/PTO-1449  13. Preliminary Amendment  14. X Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  15. Certified Copy of Priority Document(s) (if foreign priority is claimed)  16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.  17. Other:				
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:  Continuation Divisional Continuation-in-part (CIP) Of prior application No.:  Art Unit:  For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.  The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS									
X Customer Number:	22242			OR C	orresponder	nce address b	elow		
	TCH, EVEN, TABIN & FLANNERY								
Address	uite 1600 - 120 South LaSalle Street								
	nicago SA 7	State	IL 805-781-2865	Zip Co		3-3406 541-2802			
							3		

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (10-03)
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## FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant Claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 1,058

Complete if Known					
Application Number	·				
Filing Date	November 26, 2003				
First Named Inventor	Von Arx, Alan V.				
Examiner Name					
Art Unit					
Attorney Docket No.	81155(7400)				

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
Check Credit card Money Other None	3. ADDITIONAL FEES Large Entity   Small Entity					
X Deposit Account	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Deposit Account Number	1051	130	2051	65	Surcharge – late filing fee or oath	
Deposit Account Name  FITCH, EVEN, TABIN & FLANNERY	1052	50	2052	25	Surcharge – late provisional filing fee or cover sheet	
The Director is authorized to: (check all that apply)	1053	130	1053	130	Non-English specification	
Charge fee(s) indicated below     Credit any overpayments	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
X Charge any additional fee(s) or any underpayment of fee(s) EXCEPT	1804	920*	1804	920*	Requesting publication of SIR prior to	
Charge fee(s) indicated below, except for the filing fee	1805	1.840*	1805	1,840*	Examiner action Requesting publication of SIR after	
to the above-identified deposit account.	1	.,		.,	Examiner action	
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month	
1. BASIC FILING FEE	1252	420	2252	210	Extension for reply within second month	
Large Entity   Small Entity	1253	950	2253	475	Extension for reply within third month	
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	1254	1,480	2254	740	Extension for reply within fourth month	
1001 770 2001 385 Utility filing fee 770	1255	2,010	2255	1,005	Extension for reply within fifth month	
1002 340 2002 170 Design filing fee	1401	330	2401	165	Notice of Appeal	
1003 530 2003 265 Plant filing fee	1402	330	2402	165	Filing a brief in support of an appeal	
1004 770 2004 385 Reissue filing fee	1403	290	2403	145	Request for oral hearing	
1005 160 2005 80 Provisional filling fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
	1452	110	2452	55	Petition to revive - unavoidable	
SUBTOTAL (1) (\$) 770	1453	1,330	2453	665	Petition to revive – unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE						
Fee from  Extra Claims below Fee Paid	1502	480	2502	240	Design issue fee	
Total Claims 29 -20**= 9 x 18 = 162	1503	640	2503	320	Plant issue fee	
Independent 4 - 3**= 1 X 86 = 86	1460	130	1460	130	Petitions to the Commissioner	
Multiple Dependent =	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
Large Entity Small Entity	1806	180	1806	180	Submission of Information Disclosure Stmt	
Fee Fee Fee Fee <u>Fee Description</u> Code (\$)	8021	40	8021	40	Recording each patent assignment per	40
1202 18 2202 9 Claims in excess of 20	1809	770	2809	385	property (times number of properties) Filing a submission after final rejection (37 CFR 1.129(a))	
1201 86 2201 43 Independent claims in excess of 3	1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1203 290 2203 145 Multiple dependent claim, if not paid	1801	770	2801	385	Request for Continued Examination (RCE)	
1204 86 2204 43 **Reissue independent claims over original patent		900	1802	900	Request for expedited examination of a design application	
1205 18 2205 9 **Reissue claims in excess of 20 and over original patent						
SUBTOTAL (2) (\$) 248	Other	fee (spe	cify)			
** or number previously paid, if greater, For Reissues, see above	*Reduc	ed by Bas	ic Filing	Fee Paid	SUBTOTAL (3) (\$) 40	

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Julie A Hopper	Registration No. (Attorney/Agent)	50869	Telephone	805-781-2824
Signature	Julia AH	pper		Date	11/26/03

WARNING: Inf rmation on this form may become public. Credit card inf rmation sh uld not be included on this form. Provide credit card information and auth rization on PTO-2038.

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